

RECEIPT
PATENT # 6

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| In re the application of  | ) Examiner: Unassigned  |
|---|-------------------------|
| Douglas B. Moran  | )                       |
| Application No. 09/651,434  | ) Art Unit: 2131        |
| Filed: August 30, 2000  | )<br>)<br>) May 7, 2001 |
| For: SYSTEM AND METHOD FOR USING SIGNATURES TO DETECT COMPUTER INTRUSIONS | )                       |

#### **CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Assistant Commissioner for Patents, Washington, DC 20231 on May 7,2001.

Signed:\_\_\_

Jenniter C. Gross

SECOND REQUEST FOR CORRECTED FILING RECEIPT

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Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, D.C. 20231

SEP 1 8 2001

**Technology Center 2100** 

Sir:

Enclosed is a copy of the Corrected Filing Receipt for the above-identified patent application. Also enclosed is the Original Request for Corrected Filing Receipt and a copy of page 1 of the above-referenced application showing the Cross Reference to Related Applications. Please reprint the Filing Receipt as follows and mail the corrected copy to the undersigned.

Change the Continuing Data as Claimed by Applicant "THIS APPLN CLAIMS BENEFIT OF 60/151,531 08/30/1999" to -- "THIS APPLN CLAIMS BENEFIT OF 60/151,531 08/30/1999 **AND** 09/615,967 07/14/2000" --.

Since this is a Patent Office typographical error, no fee should be due. However, the Commissioner is authorized to charge any fees that may be due to Deposit Account 50-0685 (Order No. RECOP014).

Respectfully submitted,

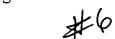
Susan C. Yi

Reg. No. 39,883

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| APPLICATION NUMBER | FILING DATE | GRP ART UNIT | FIL FEE REC'D | EE REC'D ATTY.DOCKET.NO |    | TOT CLAIMS | IND CLAIMS |
|--------------------|-------------|--------------|---------------|-------------------------|----|------------|------------|
| 09/651,434         | 08/30/2000  | 2131         | 1080          | RECOP014                | 11 | <b>14</b>  | 6          |

21912 RITTER VAN PELT & YI, L.L.P. 4906 EL CAMINO REAL SUITE 205 LOS ALTOS, CA 94022 RITTER, VAN PELT & YILLP FILING RECEIPT

PRITTER, WARPELT & CO000000005666943\*

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DOCKETED Date Mailed: 01/10/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Douglas B. Moran, Palo Alto, CA;

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SEP 1 8 2001

Continuing Data as Claimed by Applicant

THIS APPLN CLAIMS BENEFIT OF 60/151,531 08/30/1999 AND 09/615,967 67/14/2000 **Technology Center 2100** 

**Foreign Applications** 

If Required, Foreign Filing License Granted 10/19/2000

**Title** 

System and method for using signatures to detect computer intrusions

**Preliminary Class** 

713

Data entry by: MIDDLETON, MATTIE

Team: 2100

Date: 01/10/2001

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# SYSTEM AND METHOD FOR USING SIGNATURES TO DETECT COMPUTER INTRUSIONS

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### CROSS REFERENCE TO RELATED APPLICATIONS SEP 1 8 2001

This application claims priority to U.S. Provisional Patent Application No. 100.

5 60/151,531 entitled "SYSTEM AND METHOD FOR PROVIDING COMPUTER SECURITY" filed August 30, 1999, which is incorporated herein by reference for all purposes, and to U.S. Patent Application No. 09/615,697 entitled "SYSTEM AND METHOD FOR COMPUTER SECURITY" filed July 14, 2000, which is incorporated herein by reference for all purposes.

| 10 | This application is related to co-peliding O.S. Fatent Application No.                    |
|----|---|
|    | (Attorney Docket No. RECOP011) entitled SYSTEM AND METHOD                                 |
|    | DETECTING COMPUTER INTRUSIONS filed concurrently herewith, which is                       |
|    | incorporated herein by reference for all purposes; and co-pending U.S. Patent Application |
|    | No (Attorney Docket No. RECOP012) entitled EXTENSIBLE                                     |
| 15 | INTRUSION DETECTION SYSTEM filed concurrently herewith, which is incorporated             |
|    | herein by reference for all purposes; and co-pending U.S. Patent Application No.          |
|    | (Attorney Docket No. RECOP013) entitled SYSTEM AND METHOD                                 |
|    | FOR USING LOGIN CORRELATIONS TO DETECT INTRUSIONS filed concurrently                      |
|    | herewith, which is incorporated herein by reference for all purposes; and co-pending U.S. |
| 20 | Patent Application No (Attorney Docket No. RECOP015) entitled                             |
|    | SYSTEM AND METHOD FOR ANALYZING FILESYSTEMS TO DETECT                                     |



## UNITED STATES PATENT AND TRADEMARK OFFICE





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Bib Data Sheet

**CONFIRMATION NO. 2556** 

| SERIAL NUMBE<br>09/651,434   | FILING DATE 08/30/2000 RULE  | C         | <b>CLASS</b><br>713       | GROI   | ROUP ART UNIT<br>2131 |      |                                       | ATTORNEY<br>DOCKET NO.<br>RECOP014 |  |
|--|--|-----------|---------------------------|--|-----------------------|------|---------------------------------------|------------------------------------|--|
| APPLICANTS  Douglas B. M   | loran, Palo Alto, CA;  |           |                           |  |                       |      |                                       | ,                                  |  |
| ** CONTINUING DATA **********************************  |  |           |                           |  |                       |      |                                       |                                    |  |
| ** FOREIGN APPLICATIONS ************************************   |  |           |                           |  |                       |      |                                       |                                    |  |
| IF REQUIRED, FOI<br>** 10/19/2000  | IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 10/19/2000                                  |           |                           |  |                       |      |                                       |                                    |  |
| Foreign Priority claimed  35 USC 119 (a-d) conditions  met  Verified and Acknowledged  yes  no  Met after  Allowance  3/3/24  Examiner's S/gnature  Initials |  |           | STATE OR<br>COUNTRY<br>CA | DRAWING CLA  |                       | CLAI | TOTAL INDEPEN<br>CLAIMS CLAIM<br>14 6 |                                    |  |
| ADDRESS<br>21912   |  |           |                           |  |                       |      |                                       |                                    |  |
| TITLE System and method  | d for using signatures to d  | etect com | nputer intrusio           | ns   |                       |      |                                       |                                    |  |
| FILING FEE FE  | ING FEE FEES: Authority has been given in Paper CEIVED No to charge/credit DEPOSIT ACCOUNT |           |                           | ☐ All Fees ☐ 1.16 Fees (Filing) ☐ 1.17 Fees (Processing Ext. of time) ☐ 1.18 Fees (Issue) ☐ Other ☐ Credit |                       |      |                                       |                                    |  |